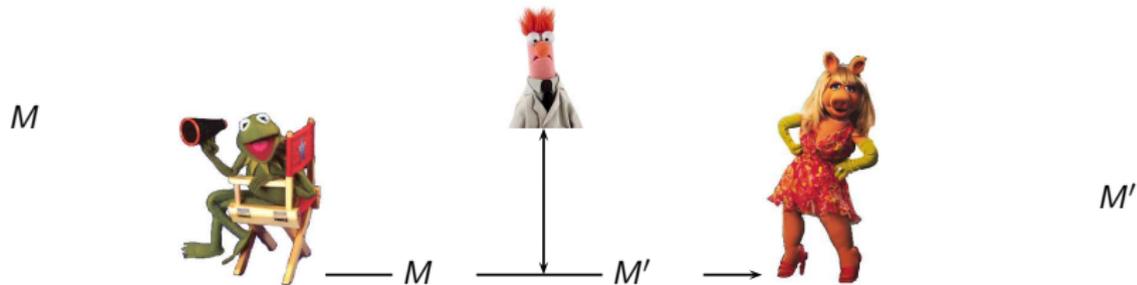


# improving the security of MACs via randomized message preprocessing

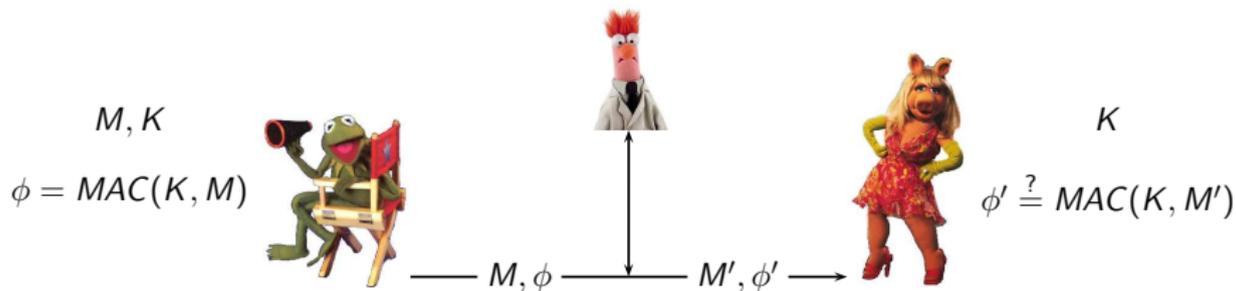
Yevgeniy Dodis (New York University)  
**Krzysztof Pietrzak** (CWI Amsterdam)

March 26, 2007

# Symmetric Authentication: Message Authentication Codes

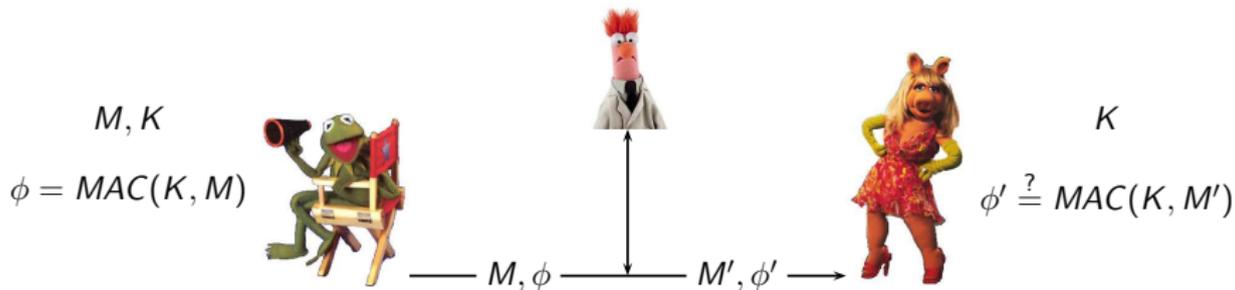


# Symmetric Authentication: Message Authentication Codes



- ▶ Kermit and Peggy share a secret key  $K$ .
- ▶ Kermit sends an authentication tag  $\phi = \text{MAC}(K, M)$  together with message  $M$ .
- ▶ Peggy accepts  $M'$  iff  $\phi' = \text{MAC}(K, M')$ .

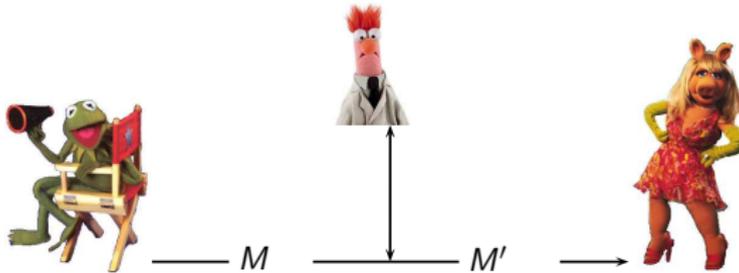
# Symmetric Authentication: Message Authentication Codes



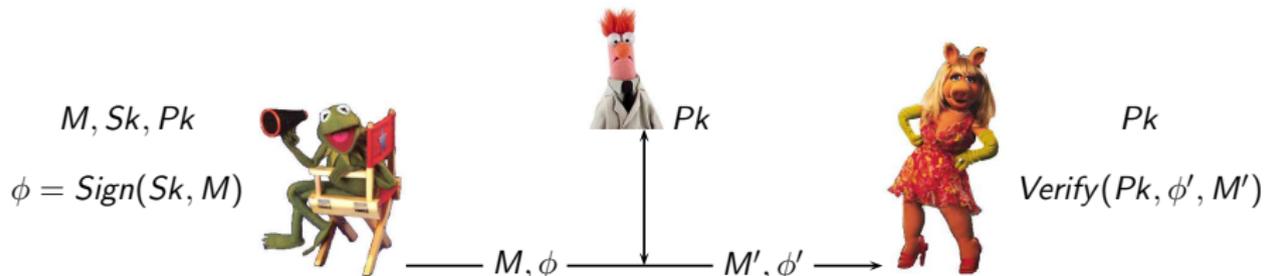
- ▶ Kermit and Peggy share a secret key  $K$ .
- ▶ Kermit sends an authentication tag  $\phi = \text{MAC}(K, M)$  together with message  $M$ .
- ▶ Peggy accepts  $M'$  iff  $\phi' = \text{MAC}(K, M')$ .
- ▶ Security: It should be hard for Beaker (who does not know  $K$ ) to come up with a pair  $(M', \phi')$  where
  - ▶  $\phi' = \text{MAC}(K, M')$
  - ▶ Kermit did not already send  $(M', \phi)$

# Asymmetric Authentication: Digital Signatures

$M$

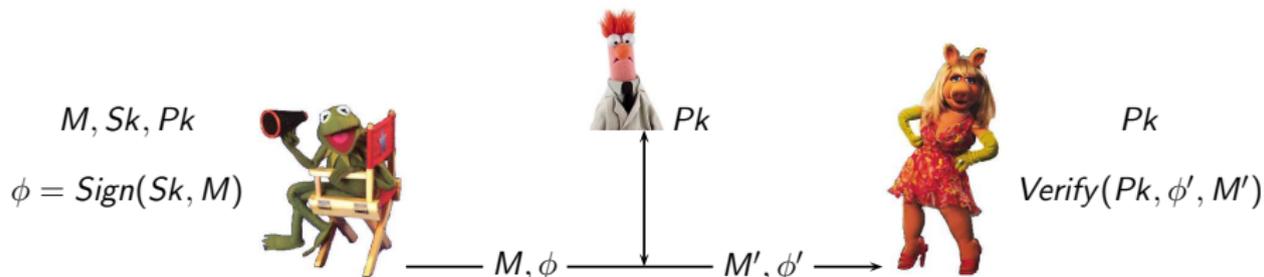


# Asymmetric Authentication: Digital Signatures



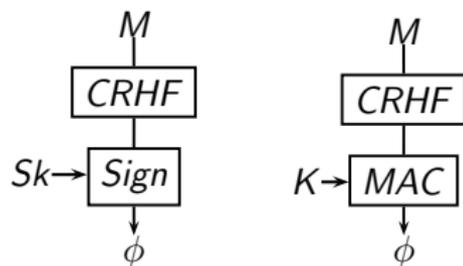
- ▶ Kermit generates a secret/public-key pair  $Sk, Pk$  and sends  $Pk$  to Miss Piggy over an authentic channel.
- ▶ Kermit sends Signature  $\phi = \text{Sign}(Sk, M)$  together with message  $M$ .
- ▶ Miss Piggy accepts  $M'$  iff  $\text{Verify}(Pk, \phi', M') = \text{accept}$ .

# Asymmetric Authentication: Digital Signatures

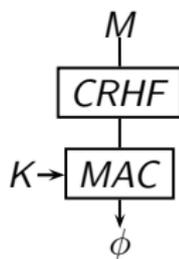


- ▶ Kermit generates a secret/public-key pair  $Sk, Pk$  and send  $Pk$  to Peggy over an authentic channel.
- ▶ Kermit sends Signature  $\phi = \text{Sign}(Sk, M)$  together with message  $M$ .
- ▶ Peggy accepts  $M'$  iff  $\text{Verify}(Pk, \phi', M') = \text{accept}$ .
- ▶ Security: It should be hard for Beaker (who does not know  $Sk$ ) to come up with a pair  $(M', \phi')$  where
  - ▶  $\text{Verify}(Pk, \phi', M') = \text{accept}$
  - ▶ Kermit did not already send  $(M', \phi)$

# Hash then Sign/MAC/Encrypt



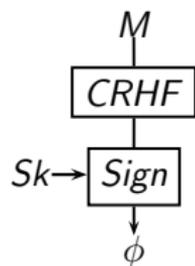
hash & Sign



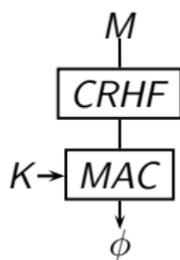
hash & MAC

- ▶ CRHF:  $Pr[A \rightarrow X, X' : H(X) = H(X')] = \textit{small}$

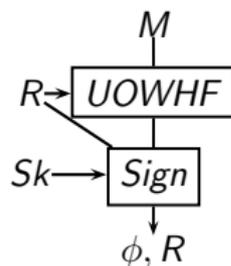
# Hash then Sign/MAC/Encrypt



hash & Sign



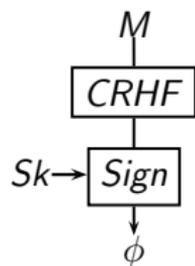
hash & MAC



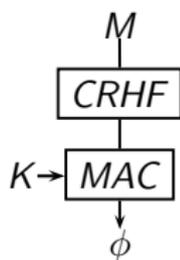
hash & Sign

- ▶  $CRHF: Pr[A \rightarrow X, X' : H(X) = H(X')] = small$
- ▶  $UOWHF: \max_X Pr_R[A(R) \rightarrow X' : H_R(X) = H_R(X')] = small$

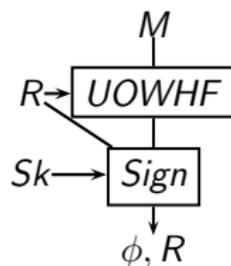
# Hash then Sign/MAC/Encrypt



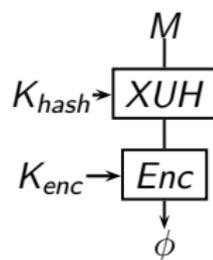
hash & Sign



hash & MAC



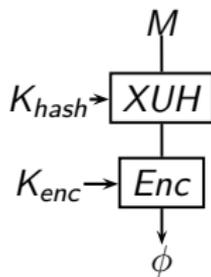
hash & Sign



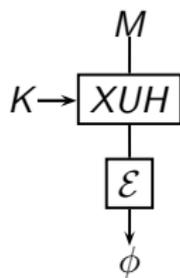
hash & encrypt

- ▶  $CRHF: Pr[A \rightarrow X, X' : H(X) = H(X')] = small$
- ▶  $UOWHF: \max_X Pr_R[A(R) \rightarrow X' : H_R(X) = H_R(X')] = small$
- ▶  $\epsilon$ - $XUH: \max_{X, X'} Pr_{K_{hash}}[H_{K_{hash}}(X) = H_{K_{hash}}(X')] \leq \epsilon$

# Hash then Encrypt



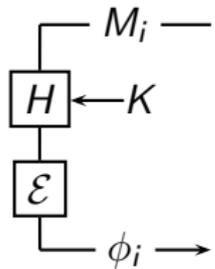
# Hash then Encrypt



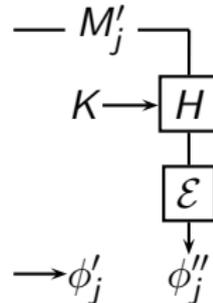
To analyze the security we replace  $Enc$  with a uniformly random permutation  $\mathcal{E} : \{0, 1\}^k \rightarrow \{0, 1\}^k$ .

Sample  $K$  and  $\mathcal{E}$  at random

MAC queries



Forgery queries



Beeker wins if for some  $j$ ,  $\phi''_j = \phi'_j$ .

Theorem (security of hash then encrypt)

If  $H$  is  $\epsilon$ -universal then

$$\Pr[\text{Beeker wins}] \leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

## Theorem (security of hash then encrypt)

If  $H$  is  $\epsilon$ -universal then

$$\Pr[\text{Becker wins}] \leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

Proof.

$$\begin{aligned} \Pr[\text{Becker wins}] &\leq \Pr[\text{collision}] + \Pr[\text{forgery} | \text{no collision}] \\ &\leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}} \end{aligned}$$

□

## Theorem (security of hash then encrypt)

If  $H$  is  $\epsilon$ -universal then

$$\Pr[\text{Becker wins}] \leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

## Corollary

$$q = q_{\text{mac}} + q_{\text{forge}}$$

If  $H$  is  $O(1/2^k)$  universal, then the security is  $O(q^2/2^k)$ .

If  $H$  is  $O(|M|/2^k)$  universal, then the security is  $O(|M|q^2/2^k)$ .

## Theorem (security of hash then encrypt)

If  $H$  is  $\epsilon$ -universal then

$$\Pr[\text{Becker wins}] \leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

## Corollary

$$q = q_{\text{mac}} + q_{\text{forge}}$$

If  $H$  is  $O(1/2^k)$  universal, then the security is  $O(q^2/2^k)$ .

If  $H$  is  $O(|M|/2^k)$  universal, then the security is  $O(|M|q^2/2^k)$ .

Can we get  $O(q^2/2^k)$  security using  $O(|M|/2^k)$  universal hashing?

## Theorem (security of hash then encrypt)

If  $H$  is  $\epsilon$ -universal then

$$\Pr[\text{Becker wins}] \leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

## Corollary

$$q = q_{\text{mac}} + q_{\text{forge}}$$

If  $H$  is  $O(1/2^k)$  universal, then the security is  $O(q^2/2^k)$ .

If  $H$  is  $O(|M|/2^k)$  universal, then the security is  $O(|M|q^2/2^k)$ .

Can we get  $O(q^2/2^k)$  security using  $O(|M|/2^k)$  universal hashing?

Yes, by randomizing the message

## Theorem (security of hash then encrypt)

If  $H$  is  $\epsilon$ -universal then

$$\Pr[\text{Becker wins}] \leq \epsilon \cdot q_{\text{mac}}^2 + \epsilon \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

## Corollary

$$q = q_{\text{mac}} + q_{\text{forge}}$$

If  $H$  is  $O(1/2^k)$  universal, then the security is  $O(q^2/2^k)$ .

If  $H$  is  $O(|M|/2^k)$  universal, then the security is  $O(|M|q^2/2^k)$ .

Can we get  $O(q^2/2^k)$  security using  $O(|M|/2^k)$  universal hashing?  
Yes, by randomizing the message using only  $O(\log(|M|))$  random bits.

# almost universal hash-functions

## Definition ( $\epsilon$ -universal hash function)

$H : \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{T}$  is  $\epsilon$  universal if

$$\forall M \neq M' \in \mathcal{M} : \Pr_{K \in \mathcal{K}} [H(K, M) = H(K, M')] \leq \epsilon$$

- ▶  $H : \mathbb{Z}_L^2 \times \mathbb{Z}_L \rightarrow \mathbb{Z}_\ell$  where  $H_{x,y}(M) = (x \cdot M + y \bmod L) \bmod \ell$  is  $1/\ell$  universal.
- ▶  $H : \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where  $H_x(M_1, \dots, M_d) = x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  $d/\ell$ -universal

# the salted hash-function paradigm

A salted hash function  $H$  is  $(\epsilon_{forge}, \epsilon_{mac})$  universal if

- ▶ Inputs collide with probability  $\leq \epsilon_{forge}$  if salt is not random.
- ▶ Inputs collide with probability  $\leq \epsilon_{mac}$  if salt is random.

**Definition** ( $(\epsilon_{forge}, \epsilon_{mac})$ -universal salted hash function)

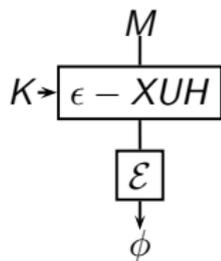
$H : \mathcal{P} \times \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{T}$  is  $(\epsilon_{forge}, \epsilon_{mac})$  universal if  
 $\forall (M, P) \neq (M', P') :$

$$\Pr_{K \in \mathcal{K}} [H(K, P, M) \neq H(K, P', M')] \leq \epsilon_{forge}$$

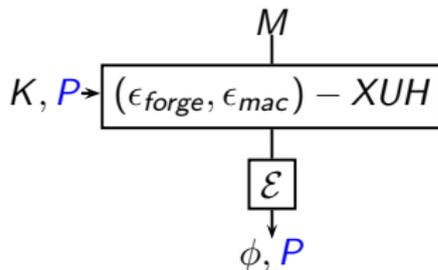
$\forall (M, M', P) :$

$$\Pr_{K \in \mathcal{K}, P' \in \mathcal{P}} [H(K, P, M) \neq H(K, P', M')] \leq \epsilon_{mac}$$

# salted hash then encrypt



hash then encrypt

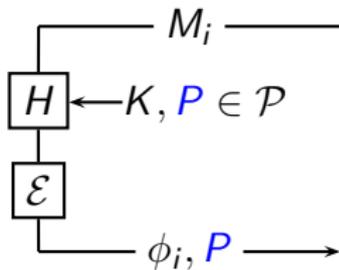


salted hash then encrypt

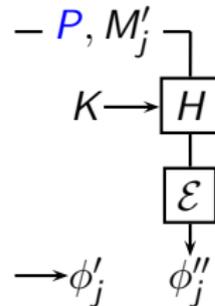
on each invocation a random salt  $P$  is chosen by the MAC

Sample  $K$  and  $\mathcal{E}$  at random

MAC queries



Forgery queries



Beaker wins if for some  $j$ ,  $\phi''_j = \phi'_j$ .

## Theorem (security of salted hash then encrypt)

If  $H$  is  $(\epsilon_{\text{forge}}, \epsilon_{\text{mac}})$ -universal then

$$\Pr[\text{Beaker wins}] \leq \epsilon_{\text{mac}} \cdot q_{\text{mac}}^2 + \epsilon_{\text{forge}} \cdot q_{\text{forge}}$$

where  $q_{\text{mac}}/q_{\text{forge}}$  is the number of MAC/forgery queries.

## Theorem (security of salted hash then encrypt)

If  $H$  is  $(\epsilon_{forge}, \epsilon_{mac})$ -universal then

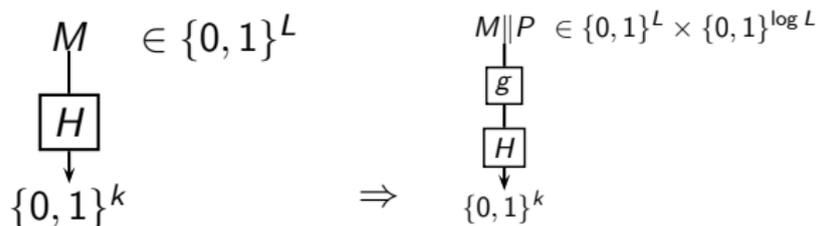
$$\Pr[\text{Becker wins}] \leq \epsilon_{mac} \cdot q_{mac}^2 + \epsilon_{forge} \cdot q_{forge}$$

where  $q_{mac}/q_{forge}$  is the number of MAC/forgery queries.

To achieve optimal  $O(q^2/2^k)$  security ( $q = q_{mac} + q_{forge}$ ), we just need  $\epsilon_{mac} \in \Theta(1/2^k)$  but  $\epsilon_{forge}$  can be much bigger.

As the salt is part of the output, we want the domain  $\mathcal{P}$  for the salt to be small.

# the generic result, proof of concept [1]



## Theorem (generic construction)

Let  $H : \{0, 1\}^L \rightarrow \{0, 1\}^k$  be  $L/2^k$  universal & balanced  
 $\exists$  permutation over  $g : \{0, 1\}^{L+\log(L)}$  such that with  $P \in \{0, 1\}^{\log L}$

$$H'(K, P, M) := H(K, g(M||P))$$

is  $(\epsilon_{forge}, \epsilon_{mac})$  universal with

$$\epsilon_{forge} = (L + \log(L))/2^k \quad \epsilon_{mac} = 2/2^k$$

## the generic result, proof of concept [2]

### Generic Construction

- ▶ Optimal  $\epsilon_{mac} = 2/2^k$ .
- ▶ Salt of length  $\log(L)$  if  $H$  is  $L/2^k$  universal.  
In general: If  $H$  is  $L^c/2^k$ -universal, then salt will be  $c \cdot \log(L)$
- ▶ **Non-constructive.**

## a concrete example: polynomial evaluation [1]

$H : \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where

$H_x(M_1, \dots, M_d) = x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  $d/\ell$ -universal

Theorem (set constant coefficient completely random)

$H' : \mathbb{Z}_\ell \times \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where

$H'_x(P, M_1, \dots, M_d) = P + x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  
( $\epsilon_{\text{forge}}, \epsilon_{\text{mac}}$ ) universal  $\epsilon_{\text{forge}} = d/\ell$  and optimal  $\epsilon_{\text{mac}} = 1/\ell$ .

Proof.

$H'_x(P, M) = H'_x(P', M')$  for exactly one possible  $P \in \mathbb{Z}_\ell$ , thus  
 $\epsilon_{\text{mac}} = 1/\ell$ . □

## a concrete example: polynomial evaluation [1]

$H : \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where

$H_x(M_1, \dots, M_d) = x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  $d/\ell$ -universal

Theorem (set constant coefficient completely random)

$H' : \mathbb{Z}_\ell \times \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where

$H'_x(P, M_1, \dots, M_d) = P + x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  
( $\epsilon_{\text{forge}}, \epsilon_{\text{mac}}$ ) universal  $\epsilon_{\text{forge}} = d/\ell$  and optimal  $\epsilon_{\text{mac}} = 1/\ell$ .

Proof.

$H'_x(P, M) = H'_x(P', M')$  for exactly one possible  $P \in \mathbb{Z}_\ell$ , thus  
 $\epsilon_{\text{mac}} = 1/\ell$ . □

Trivial, optimal  $\epsilon_{\text{mac}}$  but  $|P| = \log(\ell)$  is large.

## a concrete example: polynomial evaluation [2]

$H : \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where

$H_x(M_1, \dots, M_d) = x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  $d/\ell$ -universal

Theorem (choose constant coefficient from a small set  $\mathcal{P}$ )

$\exists \mathcal{P} \subset \mathbb{Z}_\ell, |\mathcal{P}| = d^3$  s.t.  $H' : \mathcal{P} \times \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where

$H'_x(P, M_1, \dots, M_d) = P + x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  $(\epsilon_{forge}, \epsilon_{mac})$  universal  $\epsilon_{forge} = d/\ell$  and optimal  $\epsilon_{mac} = 2/\ell$ .

## a concrete example: polynomial evaluation [2]

$H : \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where  
 $H_x(M_1, \dots, M_d) = x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  $d/\ell$ -universal

Theorem (choose constant coefficient from a small set  $\mathcal{P}$ )

$\exists \mathcal{P} \subset \mathbb{Z}_\ell, |\mathcal{P}| = d^3$  s.t.  $H' : \mathcal{P} \times \mathbb{Z}_\ell \times \mathbb{Z}_\ell^d \rightarrow \mathbb{Z}_\ell$  where  
 $H'_x(P, M_1, \dots, M_d) = P + x \cdot M_1 + x^2 \cdot M_2 + \dots + x^d \cdot M_d$  is  
 $(\epsilon_{forge}, \epsilon_{mac})$  universal  $\epsilon_{forge} = d/\ell$  and optimal  $\epsilon_{mac} = 2/\ell$ .

Optimal  $\epsilon_{mac}$ , small  $|\mathcal{P}| = 3 \cdot \log(d)$ .

No constructive way to choose  $\mathcal{P}$ , but choosing it at random will do with high probability.

# Conclusions

- ▶ Introduced the concept of *salted* almost universal hash functions.
- ▶ Show their usefulness for hash then encrypt.
- ▶ Generic result: any XUH can be turned into a salted XUH where
  - ▶ The random salt is very short.
  - ▶ The collision probability with random salt ( $\epsilon_{mac}$ ) is optimal.Give concrete such transformations for polynomial evaluation.
- ▶ Moreover in the paper: transformation for Merkle-Damgård.  
Generic result for *XOR*-universal hash functions.